

# UAV-Based Autonomous Surveillance

## Human Interaction Issues

Michael Freed  
Robert Harris  
Michael Shafto



# Army/NASA Autonomous Rotorcraft Project



# Army/NASA Autonomous Rotorcraft Project

Project objective: versatile, practical airborne observation platform effective for range of missions

- Capabilities of modified Yamaha RMAX
  - Enough payload cap. for lots of sensors, computing, ...
  - Enough range and speed for operationally significant
- Surveillance mission decision-making
  - Human users (information consumers) define targets and values initially, revising as desired during missions
  - **Robot role:** depends on how effective autonomy mechanisms (Apex) likely to be at serving user goals

# Army/NASA Autonomous Rotorcraft Project

Many useful observation functions

Why focus on surveillance?

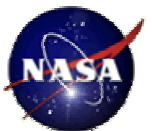
- Acknowledged as a critical function in diverse operational environments
- Current practice unsatisfactory (too boring)
- Achievable with current perception/action technology



# Talk outline

**Human-Interaction Emphasis:** allocation of decision-making responsibilities between human and autonomy based on empirical characterization of relative strengths

1. What is surveillance? What does it mean to do it well?
2. Mission scenario testbed/tools for measuring surveillance performance
3. Pilot study: comparing human to algorithm performance



# The Surveillance Problem

## Example Scenario



← Area of operations

### Valuable assets

- docks
- warehouses
- lighthouse
- orchard tract

Risk: structure can start on fire at any time

UAV Goal: do a good job detecting fires

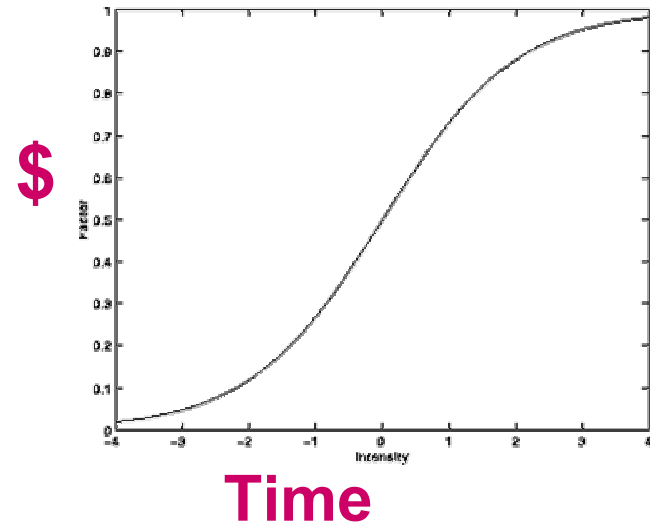
*What does it mean to do a good job at surveillance in this kind of scenario?*

# The Surveillance Problem

## Surveillance Performance Factors



The more often a target is visited the better.

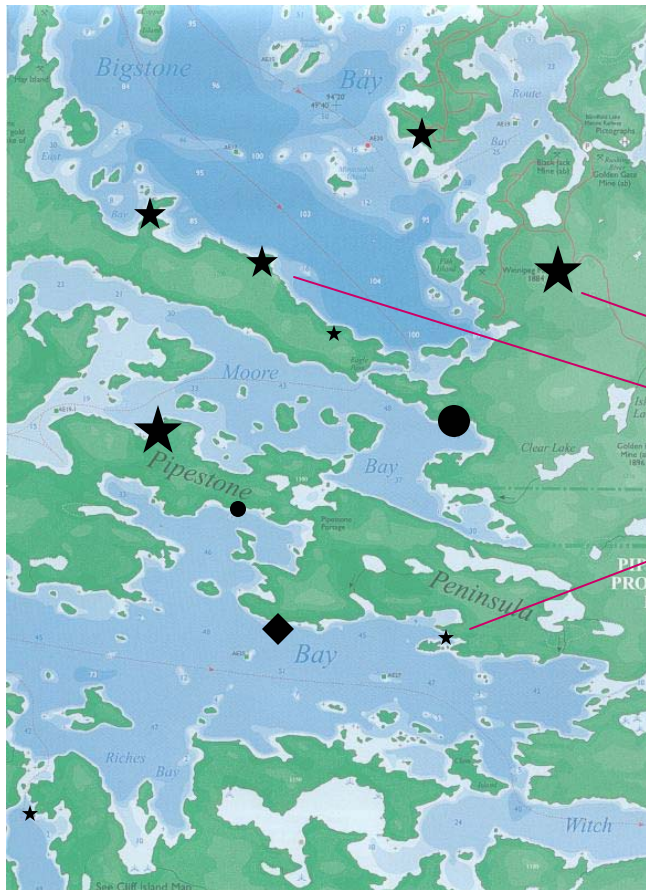


⇒ fly efficient routes to observe targets as frequently as possible



# The Surveillance Problem

## Surveillance Performance Factors



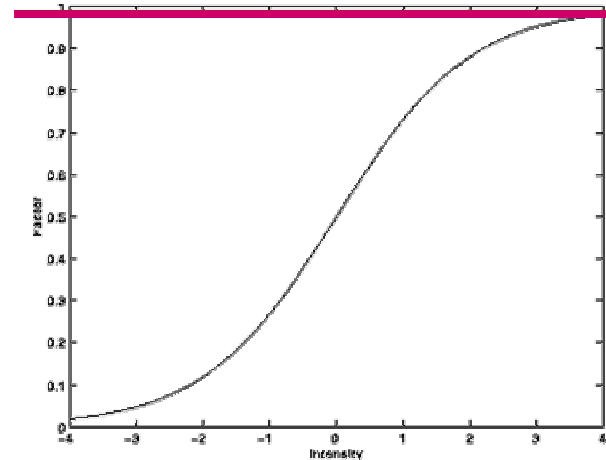
Some targets are more valuable than others

max cost

\$5M

\$2M

\$1M



- ⇒ Visit some targets more often than others
- ⇒ Possibly skip some entirely





# The Surveillance Problem

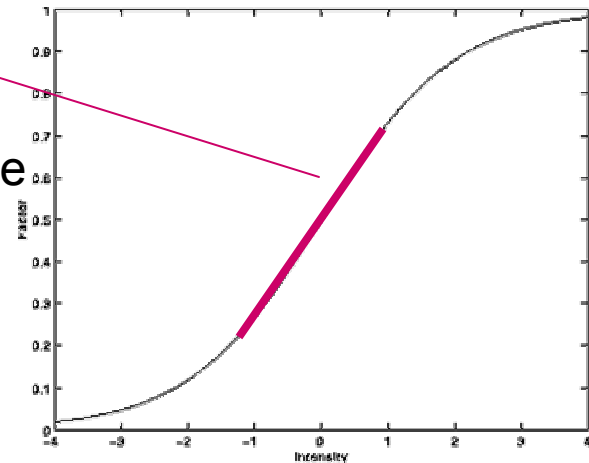
## Surveillance Performance Factors

Some targets accumulate cost (burn) faster than others



rate

brick/stone  
wood  
mixed



- ⇒ Visit some targets more often than others
- ⇒ Possibly skip some entirely

*remote, unimportant, needy*



# The Surveillance Problem

## Surveillance Performance



## Other potentially important factors

- probability of occurrence
- detection latency
- communication latency
- intervention latency
- repeatability / concurrency



# Measuring Surveillance Performance

Goal of surveillance is to:

minimize the total expected cost of ignorance for all targets in the operational area over a specified mission time interval

Expected Cost of Ignorance for target  $\tau$  over interval  $[t_1, t_2]$  in which  $\tau$  is not observed:

$$\text{ECI}_{\tau}(t_1, t_2) = \int_{t=t_1}^{t_2} p(t) \cdot \text{cost}(t_2 - t) dt$$

probability density function for event (e.g. fire)

occurrence cost function (e.g. sigmoid)

*ECI is the sum for all points in the interval of the probability an event occurs at that point times the cost if it occurs at that point.*



# Measuring Surveillance Performance

## Example



### Probability of occurrence (pdf)

$$p(t) = ae^{-at} \quad \leftarrow \text{exponential}$$

### Cost if it occurs

$$\text{cost}(d) = c_0 + \left( \frac{2}{1 + e^{-k(d+l_1+l_2)}} - 1 \right) (m - c_0)$$

← sigmoid

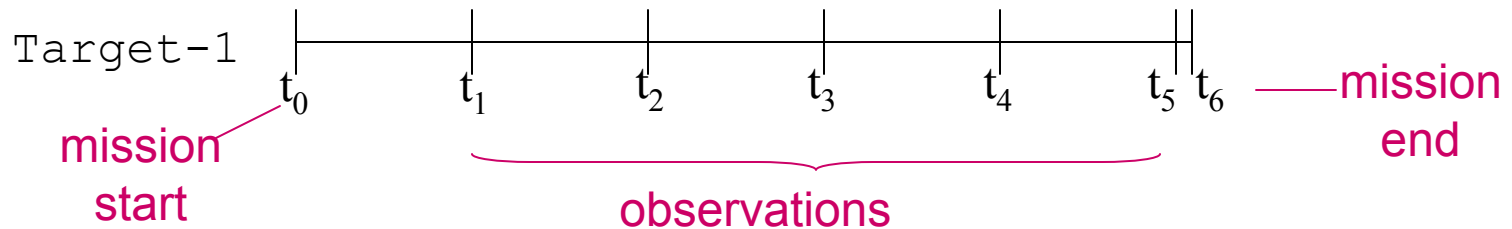
### Expected cost of ignorance $[t_1 \ t_2]$

$$\text{ECI}_\tau(t_1, t_2, a, k, m) = \int_{t=t_1}^{t_2} ae^{-at} m \left( \frac{2}{1 + e^{-k(t_2-t)}} - 1 \right) dt$$



# Measuring Surveillance Performance

The observation timeline for a target specifies at what times (if any) the target was (or will be) observed.



Total mission ECI for one target is the of ECI values for inter-observation intervals (including mission start/end points):

$$\text{target-ECI } (\tau) = \sum ECI(t_{i-1}, t_i)$$



# Measuring Surveillance Performance

Mission-ECI, the total cost of ignorance for all targets accumulated over the mission

$$\text{mission-ECI} = \sum^{\text{targets}} \text{target-ECI}(\tau)$$

The overall surveillance goal is to minimize this value

The value of a surveillance method (algorithm or human operator) in a particular mission is

$$\text{Value}_{\langle \text{method} \rangle} = \text{mission-ECI}_{\text{max}} - \text{mission-ECI}_{\langle \text{method} \rangle}$$

worst case performance  
(no observations made  
during mission)



# Surveillance Algorithms

Scheduling + a bit of planning

- Traveling Salesman Problem (TSP)
- Orienteering Problem
  - Time maximum (visit only subset of targets)
  - Reward varies for individual targets
- Surveillance Problem
  - Repeat visits yield multiple rewards
  - Reward value time-varying
  - Traverse time-cost state-dependent
  - **\*\*Reactive version of problem (weather, users)**



# Characterizing General Strengths and Weaknesses

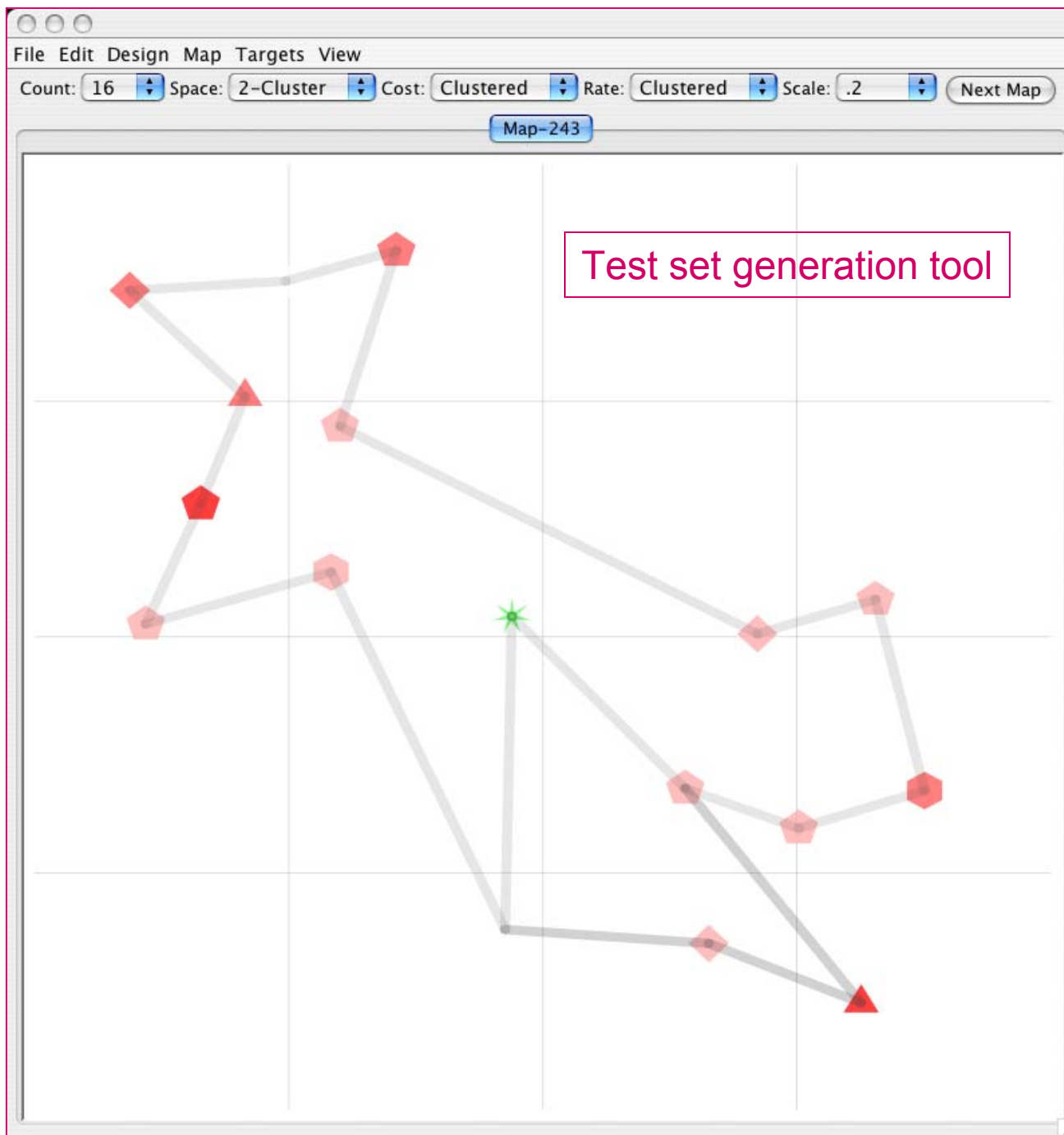
## Why

- Picking the best algorithm for the current situation
- Incremental improvement of individual algorithms
- Knowing when human decisions likely to be better

## How

- Create set of qualitatively distinct scenarios that cover an interesting part of the space of possible missions
- Create tool to run algorithms and human subjects in all scenarios, quantify/tabulate performance
- Apply tool; abstract out hi/lo performance conditions



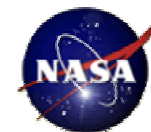


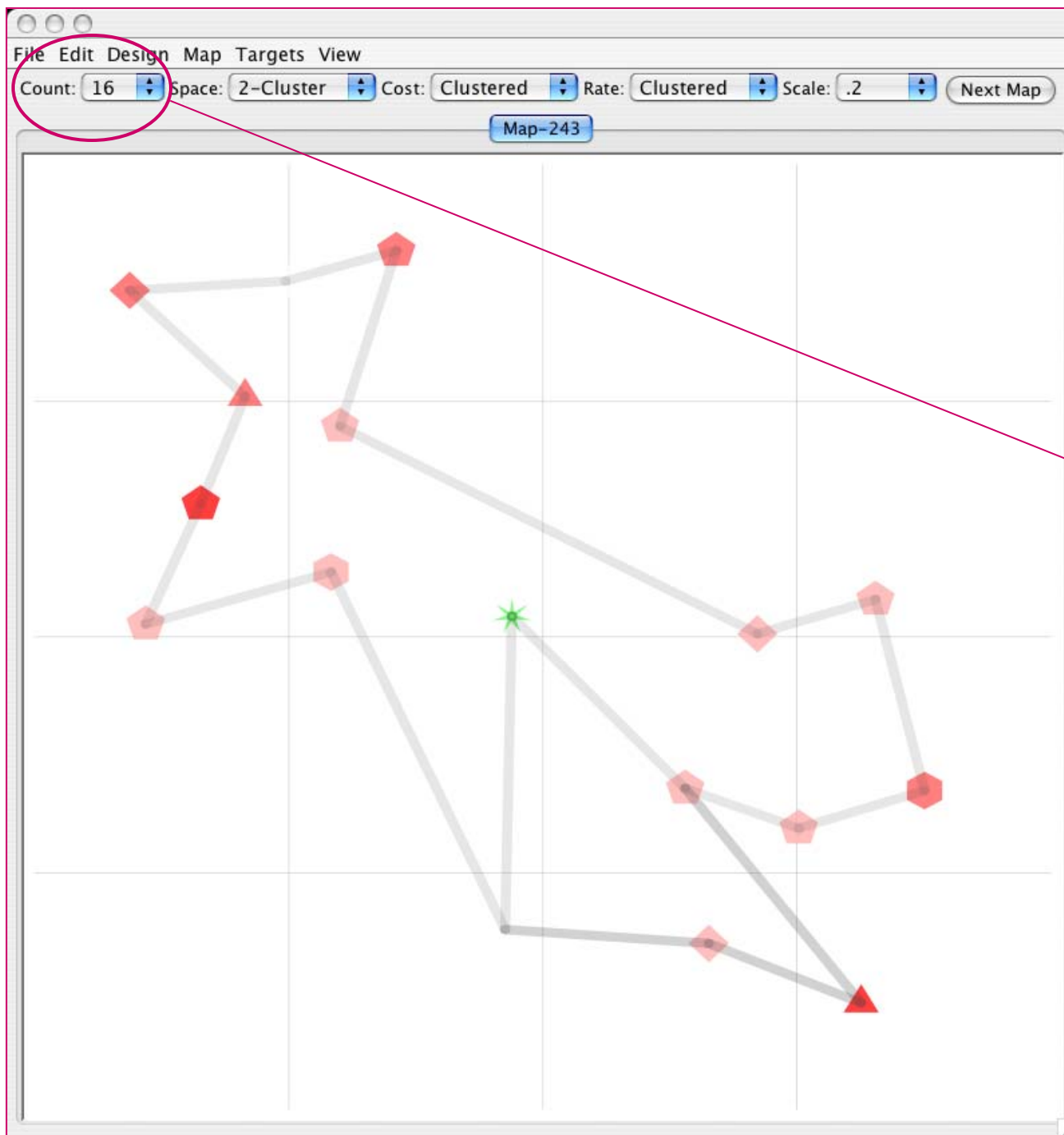
# Scenario test set

243 Scenarios

5 dimensions (iv's)

3 values for each

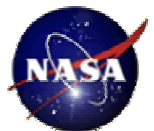


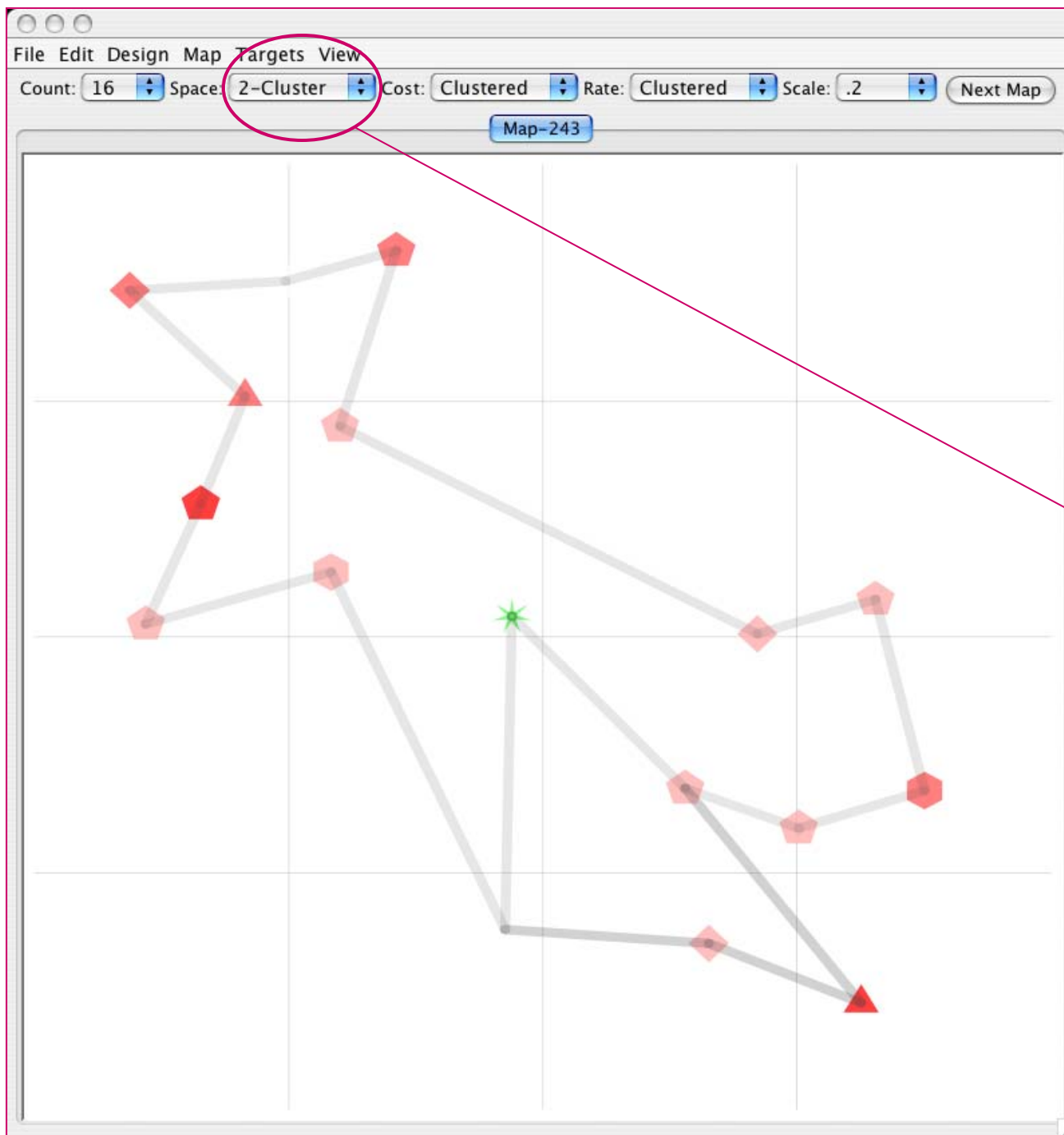


# Scenario test set

243 Scenarios  
5 dimensions (iv's)  
3 values for each

- 1. Number of targets
  - 4
  - 8
  - 16**





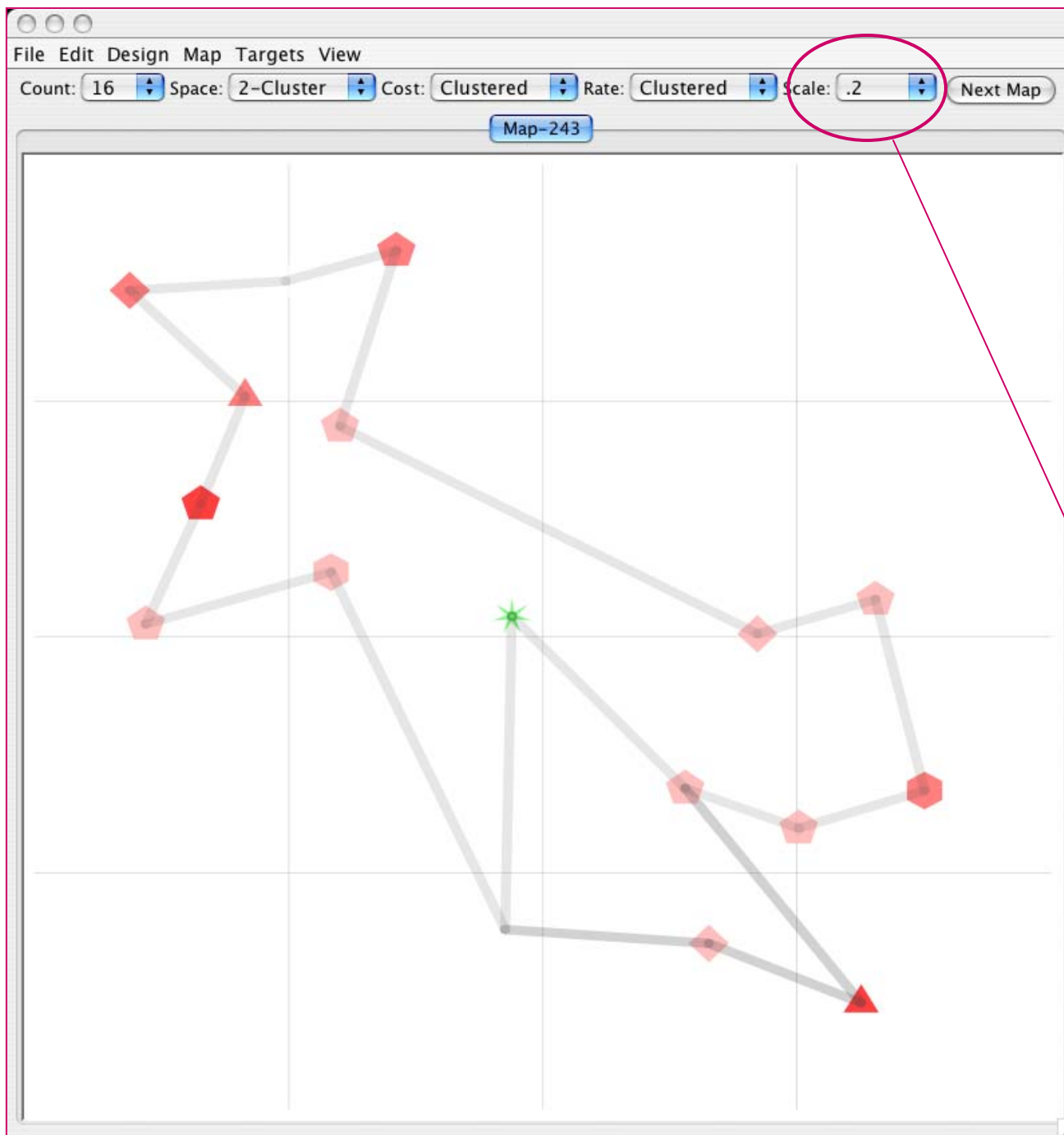
# Scenario test set

## 243 Scenarios

5 dimensions (iv's)  
3 values for each

1. Number of targets
2. Spatial Distribution
  - uniform
  - globular
  - 2-cluster**





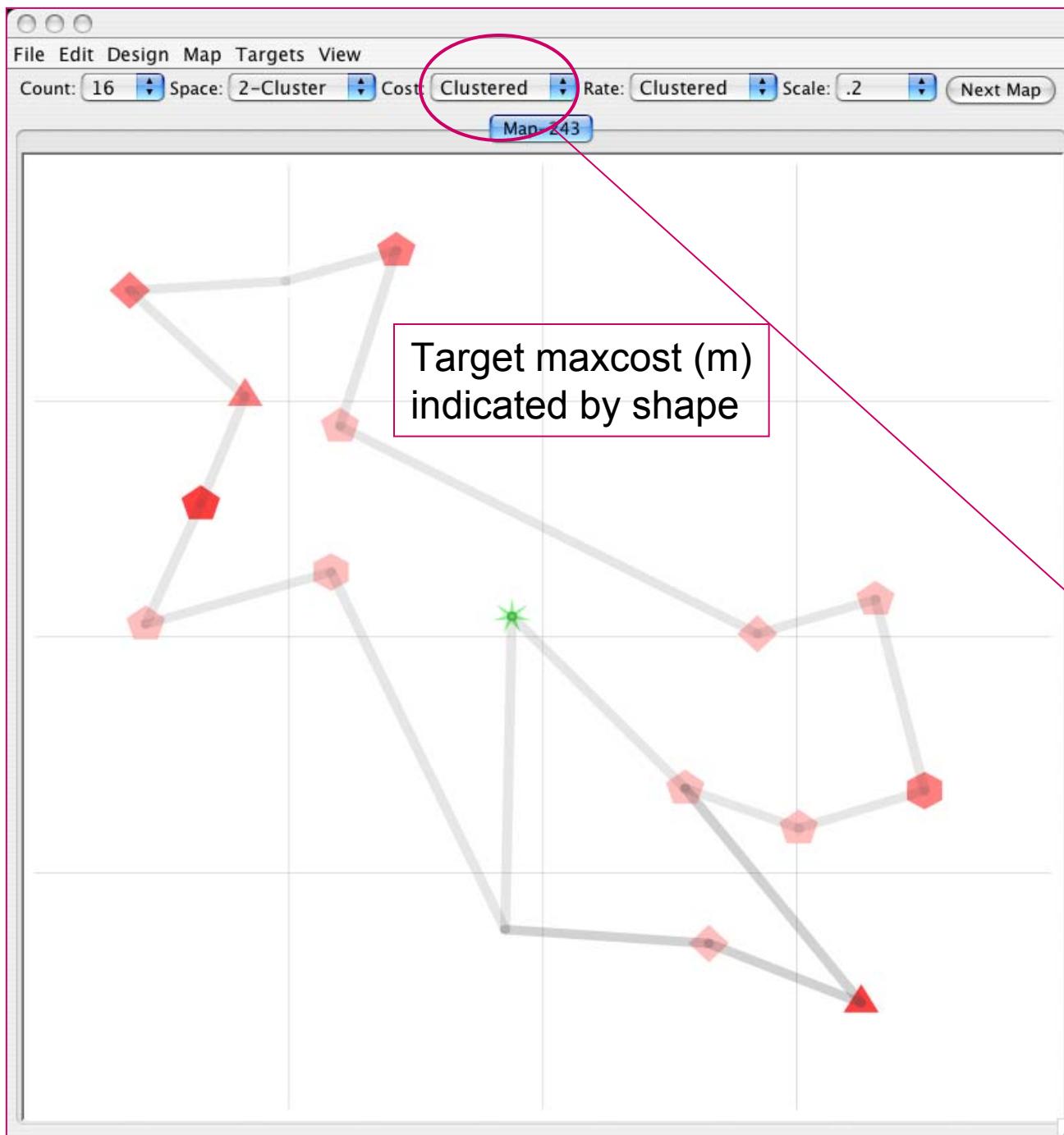
# Scenario test set

## 243 Scenarios

5 dimensions (iv's)  
3 values for each

1. Number of targets
2. Spatial Distribution
3. Spatial Scale  
small (.002)  
medium (.02)  
**large (.2)**





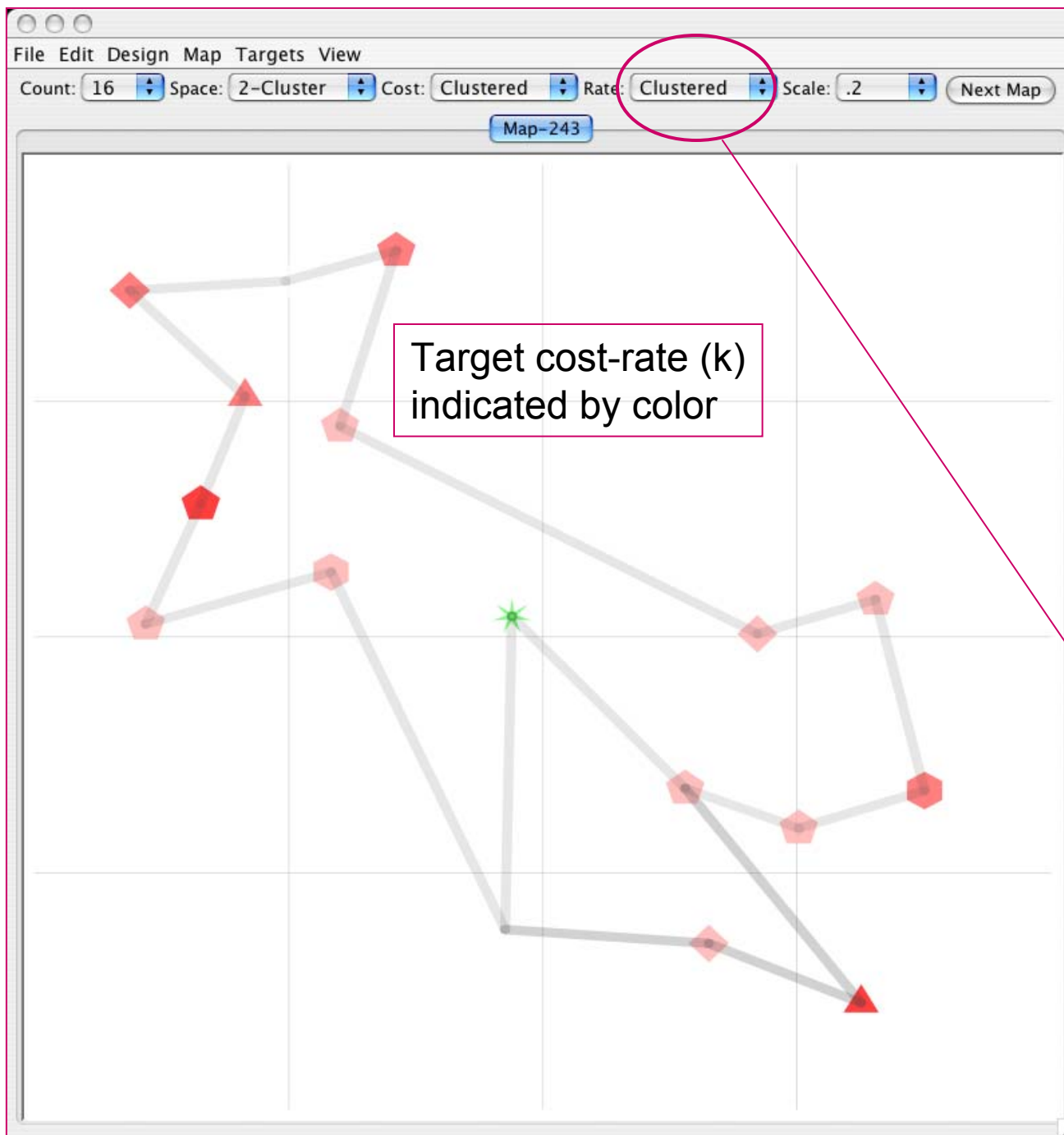
# Scenario test set

## 243 Scenarios

5 dimensions (iv's)  
3 values for each

1. Number of targets
2. Spatial Distribution
3. Spatial Scale
4. Maxcost Distribution  
fixed (30)  
uniform (10 20 30 40)  
**peaked (30)**





# Scenario test set

## 243 Scenarios

5 dimensions (iv's)  
3 values for each

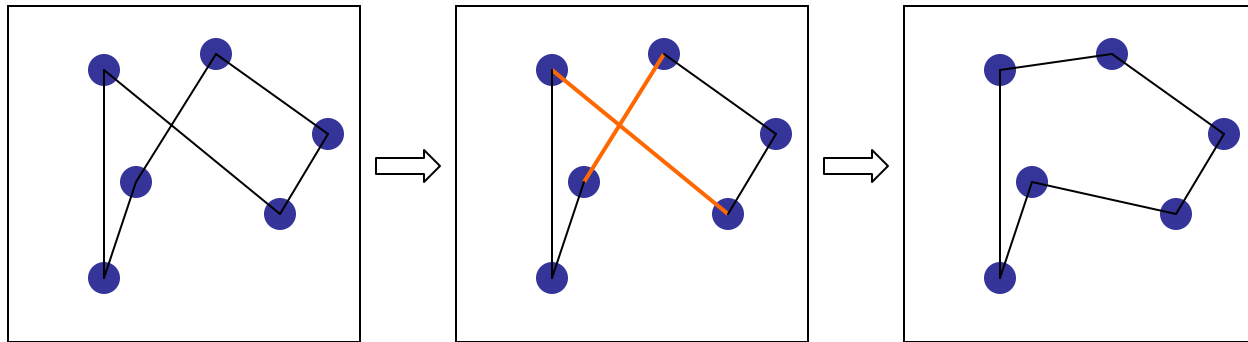
1. Number of targets
2. Spatial Distribution
3. Spatial Scale
4. Maxcost Distribution
5. Cost-Rate Distribution  
fixed (60)  
uniform (20 40 60 80)  
**peaked (60)**



# Comparative Evaluation

## Human vs. 2-OPT Algorithm

- Modified 2-OPT algorithm
  - Basic 2-OPT computes approximate solutions for Traveling Salesman Problem
  - Approach: start with a random tour; iteratively find and apply a tour-improving exchange of 2 tour segments until none found



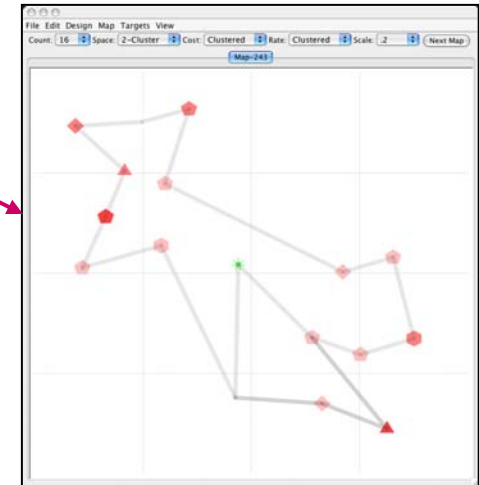
- Modifications
  - Use UAV kinematics model (“smoother”) to compute traverse time
  - Evaluate return-to-home point given maximum flight duration = 60 minutes
- Surveillance performance metric



# Comparative Evaluation

## Human vs. 2-OPT Algorithm

- Human subject test (pilot study)
  - Software /procedure
    - Subject uses GUI to create/mod tours
    - Shows scenario/target attributes...
  - Test conditions: all 243 scenarios (~4 hrs)
  - Surveillance performance metric
  - Pilot study
    - 2 subjects so far
    - Training materials & GUI evolving
    - Decision aids evolving
    - Scenario presentation schedule ad lib





# Performance Comparison

Pct. Adv.			N Spatial								
			4			8			16		
Scale	Rate	Cost	2-Cluster	Globular	Uniform	2-Cluster	Globular	Uniform	2-Cluster	Globular	Uniform
0.002	Fixed	Fixed	0	0	0	0	<b>14</b>	0	6	<b>31</b>	-3
		Clustered	0	0	0	0	<b>16</b>	5	7	<b>29</b>	7
		Uniform	0	0	-1	<b>42</b>	<b>24</b>	5	2	10	<b>10</b>
	Clustered	Fixed	0	0	0	0	0	<b>11</b>	0	0	<b>11</b>
		Clustered	0	0	0	0	0	0	0	0	1
		Uniform	0	0	0	0	0	0	0	0	1
	Uniform	Fixed	<b>143</b>	<b>47</b>	0	<b>130</b>	<b>19</b>	<b>47</b>	<b>10</b>	<b>93</b>	<b>47</b>
		Clustered	<b>22</b>	<b>28</b>	<b>23</b>	0	<b>12</b>	6	3	8	0
		Uniform	<b>61</b>	<b>20</b>	-2	0	<b>18</b>	7	2	5	9
0.02	Fixed	Fixed	0	0	0	1	1	0	0	1	0
		Clustered	0	0	0	1	1	0	0	1	0
		Uniform	0	0	0	1	1	0	1	2	0
	Clustered	Fixed	0	0	0	1	0	0	1	6	0
		Clustered	0	0	0	1	0	0	0	9	1
		Uniform	0	0	0	1	<b>16</b>	0	0	6	0
	Uniform	Fixed	0	0	0	1	0	0	2	2	0
		Clustered	0	0	0	1	0	0	2	2	1
		Uniform	0	0	0	1	0	0	0	2	1
0.2	Fixed	Fixed	1	0	0	0	-1	0	-2	<b>-22</b>	4
		Clustered	1	0	0	-1	5	-10	-6	<b>-15</b>	3
		Uniform	0	0	-1	-2	4	-8	-5	<b>-19</b>	4
	Clustered	Fixed	0	0	0	8	0	<b>14</b>	9	-1	10
		Clustered	0	0	0	9	-1	<b>-11</b>	<b>11</b>	<b>-14</b>	5
		Uniform	0	0	-1	<b>16</b>	8	3	5	<b>-10</b>	4
	Uniform	Fixed	3	0	<b>23</b>	<b>14</b>	-7	<b>22</b>	2	-7	<b>10</b>
		Clustered	<b>16</b>	0	<b>23</b>	9	-3	<b>-12</b>	9	<b>-16</b>	8
		Uniform	<b>23</b>	0	<b>31</b>	<b>15</b>	4	2	-3	<b>-26</b>	5

% difference; 1 pilot subject; positive values favor 2-OPT

# Pilot Study Summary

- 2-Opt significantly out-performed humans overall ( $p < 0.01$ )
- Human subjects differed significantly ( $p < 0.05$ )
- Humans' & 2-Opt's performance were strongly correlated ( $r > 0.9$ )
- Most discriminating i.v. seemed to be Scale
- Least discriminating seemed to be Target-Count
- Humans seemed to do relatively poorly with small-scale maps, small N, low spatial structure (uniform distribution)
- Humans seemed to do relatively well with large-scale maps, large N and high spatial structure (cluster, globular)
- Humans seemed vulnerable to errors in target-exclusion decisions
- Humans, but not 2-Opt, could benefit from multiple visits to the same target. This is an artifact due to the definition of the TSP problem.



# Ongoing and Future Work

## Ongoing

- Better human subject data (possibly on-line data-collection)
- Better surveillance algorithms and heuristics

## Future

- Multiple surveillance vehicles
- Varied functions: mapping, recon,...
- Eliciting and maintaining cost/probability knowledge from users
- Handling run-time user requests, utility changes
- Operational integration with human organizations and systems



# For More Information

**{mfreed, rharris, mshafto}  
@mail.arc.nasa.gov**

